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BY EXPRESS MAIL ON
December 19, 2000
(EH 332 180 770 US)

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12/19/00
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097740664

Box PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

RECORD OF TRANSMITTAL

INVENTORS: (1) Niwa TAKASHI
(2) Kenji KATO
(3) Nobuyuki KASAMA
(4) Manabu OUMI
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(6) Susumu ICHIHARA

TITLE: NEAR-FIELD OPTICAL PROBE AND MANUFACTURING METHOD
FOR SAME, AND NEAR-FIELD OPTICAL APPARATUS USING THE
NEAR-FIELD PROBE

DOCKET NO: S004-4168

S I R:

Kindly find enclosed herewith for filing the documents
checked below. Any fees or charges not covered by the
accompanying check should be charged to our Deposit Account
No. 01-0268.

APPLICABLE ITEMS CHECKED:

XX PATENT APPLICATION (pages 1-43 of specification, 32
claims (pages) 38-43, and abstract of the
disclosure (pg.44))
____ DESIGN APPLICATION (specification, claim, declaration and
power of attorney and photograph(s))
XX FORMAL DRAWINGS (17 sheets) (NI A4)
____ INFORMAL DRAWINGS (____ sheets)
____ RECORDATION FORM COVER SHEET and ASSIGNMENT
(to be recorded and returned to the undersigned)

097740664-121900

XX PRIORITY CLAIM - applicant(s) claim benefit of
priority of the following application(s):

Japanese Patent Appln. No. 11-361701
filed December 20, 1999

Japanese Patent Appln. No. 2000-130826
filed April 28, 2000

Japanese Patent Appln. No. 2000-352778
filed November 20, 2000

XX PRIORITY DOCUMENT(s)

 Enclosed XX Not Enclosed

 VERIFIED STATEMENT TO ESTABLISH SMALL ENTITY STATUS

XX EXPRESS MAIL CERTIFICATION

 OTHER -

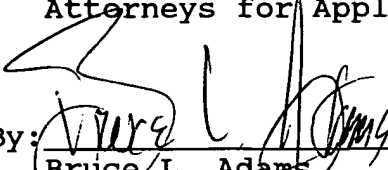
XX FEE

A check is enclosed for the following official fees:

	Large Entity	Small Entity
<u>XX</u> Basic Filing Fee	\$ 710.00	\$
<u> 0 </u> Independent Claims in Excess of 3	\$	\$
<u> 12 </u> Total Claims in Excess of 20	\$ 216.00	\$
<u> </u> Multiple Claim Fee	\$	\$
<u> </u> Assignment Recordal Fee	\$	\$
TOTAL	\$ 926.00	\$

Respectfully submitted,

ADAMS & WILKS
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By: 
Bruce L. Adams
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Re: New patent application of Niwa TAKASHI et al.

for NEAR-FIELD OPTICAL PROBE AND MANUFACTURING METHOD FOR SAME,
AND NEAR-FIELD OPTICAL APPARATUS USING THE NEAR-FIELD PROBE

comprising transmittal letter, title, specification (pgs.1-43),
32 claims (pgs.38-43), abstract of the disclosure (pg.44), 17
sheets of formal drawings containing thereon Figs. 1-26D, express
mail certification and check in the amount \$926.00

Attorney's Docket No: S004-4168

EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10

Express Mail Tracking Number: EH 332 180 770 US

Date of Deposit: December 19, 2000

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Michael Ruas